

## Phase I study of UCART19, an allogeneic anti-CD19 CAR T-cell product, in high risk adult patients with CD19+ relapsed/refractory (R/R) B-cell ALL: Preliminary results of phase I CALM study

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### BACKGROUND

UCART19 is a second generation anti-CD19 CAR (anti-CD19 scFv- 41BB- CD3z), that has been genetically modified to disrupt the T-cell receptor alpha constant (TRAC) and CD52 genes with the help of mRNA coding for transcription activator-like effector nuclease (TALEN<sup>®</sup>), a Collectis gene-editing technology (Figure 1).

UCART19 is expressing a RQR8 "safety switch" intended to allow targeted elimination of RQR8+ cells by rituximab.

This is a ready-to-use, off-the-shelf therapy that has the advantage that peripheral blood mononuclear cells (PBMCs) isolated from a single healthy donor can be used to treat multiple patients

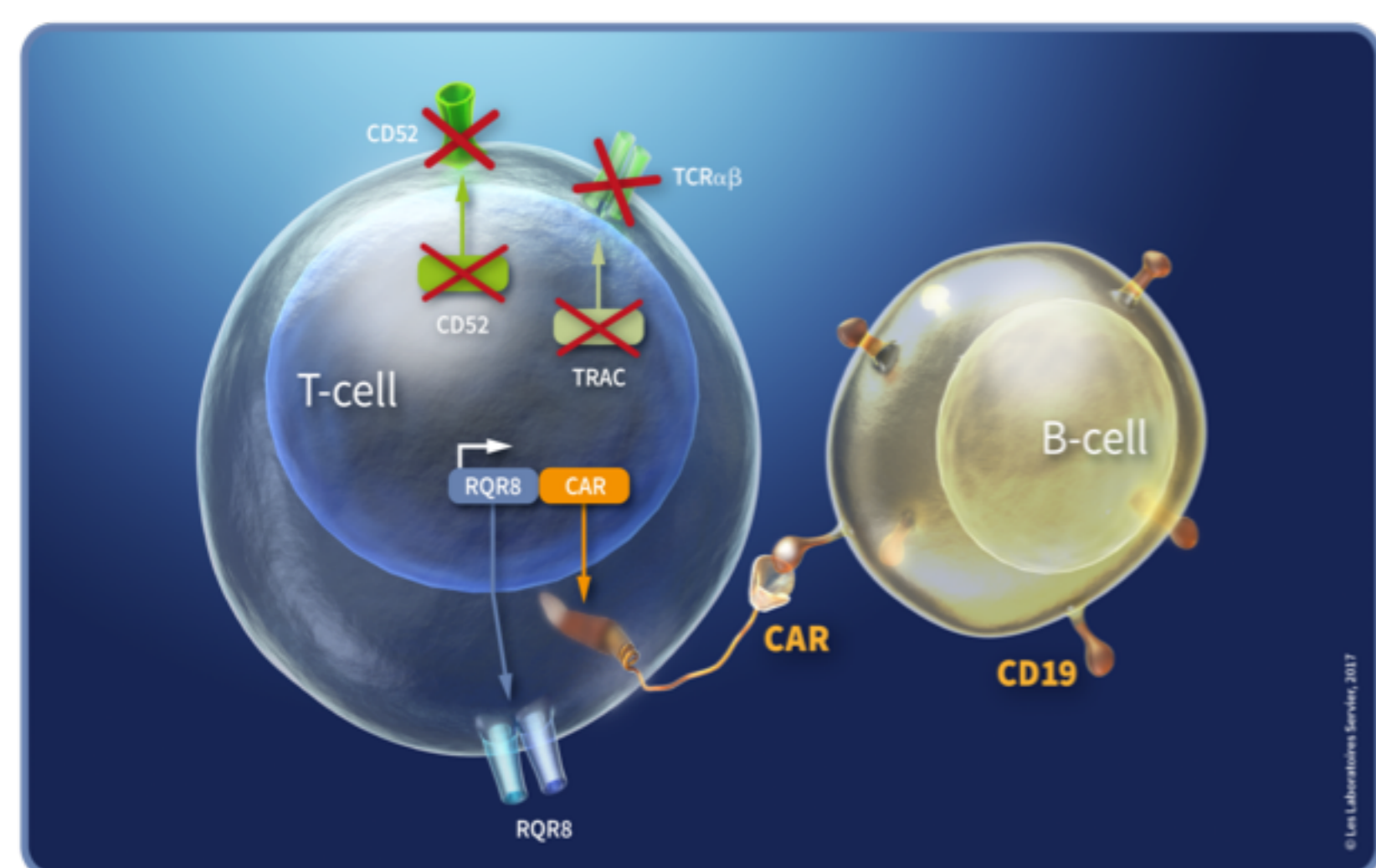


Figure 1. UCART19, an engineered allogeneic anti-CD19 CAR T-cell medicinal product

As previously reported with Collectis, preliminary efficacy for UCART19 was demonstrated with two infants suffering from R/R ALL. Both infants were treated with UCART19 under a special license granted by the Medicines and Healthcare Products Regulatory Agency (MHRA). Both infants remain in remission 24 and 30 months after subsequent transplant.

Updated data for UCART19 administered to a pediatric population suffering from R/R ALL (PALL study) are presented in EHA 2018 (abstract #PF175).

### METHODS

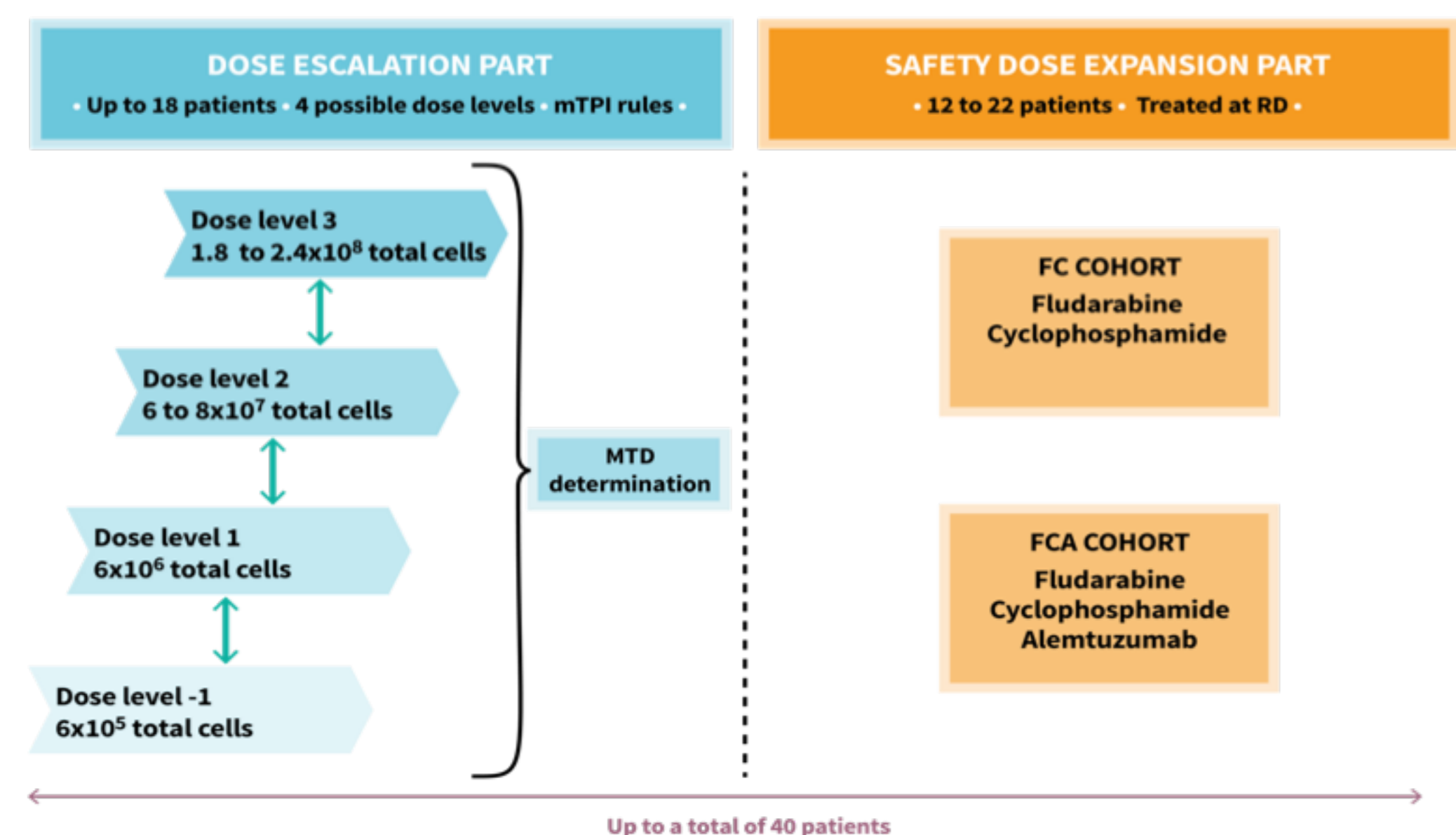


Figure 2. Study plan

- Phase I multicenter, dose-escalating, open-label, non-comparative study, to evaluate up to 4 dose levels (DL) of UCART19 and to determine the maximum tolerated dose (MTD) in adult patients with R/R B-ALL.
- Dose-escalation is followed by a safety expansion part, patients dosed at MTD or at the recommended dose (RD) (Figure 2)
- The lymphodepletion (LD) regimen starts from D-7 preceding UCART19 infusion and combines: cyclophosphamide 1500 mg/m<sup>2</sup> and fludarabine 90 mg/m<sup>2</sup>, without alemtuzumab (FC) or with alemtuzumab 1 mg/kg (FCA)
- During the expansion part, the role of alemtuzumab will be investigated in 2 cohorts of patients (LD with FC or FCA)
- At D0, UCART19 is administered as a single non-split dose, by slow IV infusion (5 minutes)
- Evaluation of dose limiting toxicities is performed 28 days after infusion (D28)
- Bone marrow aspiration/biopsy is performed before LD, at D-1, at D28 and D84
- Minimal residual disease (MRD) is defined by < 10<sup>-4</sup> blasts in bone marrow, assessed by flow cytometry (FLC) and/or by qPCR
- At study completion (D84 after infusion), the patient is rolled-over to the long term follow-up study (LTFU) for a 15-year duration

### OBJECTIVES

#### Primary objective

- To evaluate the safety and tolerability of UCART19 and to determine the maximum tolerated dose (MTD) in relapsed or refractory B-ALL adult patients

#### Secondary objective

- To assess the anti-leukemic activity:
  - ✓ rate of objective response at Day 28, Day 84 and overall,
  - ✓ duration of response, time to remission, progression free survival

#### Exploratory objectives

- To assess the proportion of patients who underwent an allogeneic stem cell transplant (allo-SCT) at Day 84.
- To analyse the expansion, phenotype, trafficking and persistence of UCART19 in blood, in bone marrow

### RESULTS

#### STUDY STATUS

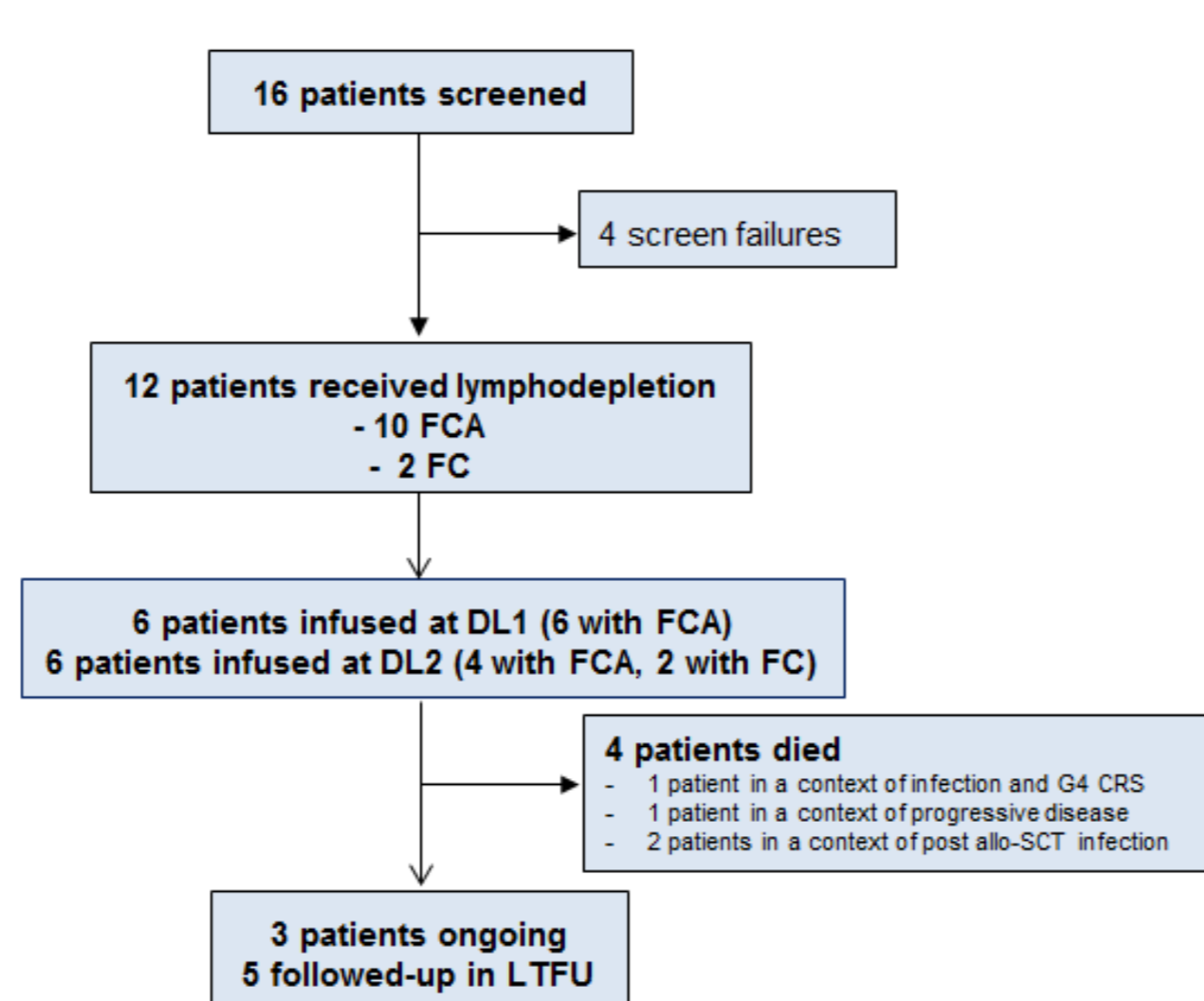


Figure 3. Study status

- As of April 24, 2018, 12 patients have been treated in the dose escalation part, with 6 patients at DL1 with 6x10<sup>6</sup> total cells (approximately 1x10<sup>5</sup> cells/kg) and 6 patients at DL2 with 6 to 8x10<sup>7</sup> total cells (approximately 1x10<sup>6</sup> cells/kg). Patient characteristics are presented in Table 1.
- 4 patients had recurrent genetic abnormalities including hyperdiploidy and translocations
- Patients had received a median of 3.5 prior treatment lines (range 1-5)
- Recruitment in dose escalation is active in 3 countries (UK, U.S. and France)

#### SAFETY

N=12	Worst grade					All grades n (%)
	G1 n (%)	G2 n (%)	G3 n (%)	G4 n (%)	G5 n (%)	
<b>AEs related to UCART19</b>						
Cytokine release syndrome	1 (8.3)	8 (66.7)	1 (8.3)	1 (8.3)	-	11 (91.7)
Neurotoxicity events	3 (25)	-	-	-	-	3 (25)
Graft-versus-host disease in skin	1 (8.3)	-	-	-	-	1 (8.3)
<b>AEs related to lymphodepletion and/or UCART19</b>						
Prolonged cytopenia*	-	-	-	3 (25)	-	3 (25)
Neutropenic sepsis	-	-	-	1 (8.3)	1 (8.3)	2 (16.7)
CMV infection	-	3 (25)	-	-	-	3 (25)
Adenovirus infection	1 (8.3)	-	1 (8.3)	-	-	2 (16.7)

\* Persistent Grade 4 neutropenia and/or thrombocytopenia beyond Day 42 post UCART19 infusion, except if >5% bone marrow blasts n: number of patients with at least one event by worst grade

Table 2. Most relevant AEs post-UCART19 infusion/ before allo-SCT

- 11/12 patients experienced CRS (G1 to G4) (Table 2).
  - ✓ Tocilizumab was administered in 6/11 patients
  - ✓ CRS correlated with serum cytokine increase (IL-6, IL-10 and IFN $\gamma$ ) and UCART19 expansion in blood in all patients but one
- 1 patient developed G1 skin GvHD at D31, that resolved with topical steroids
- Viral reactivations (CMV and/or adenovirus) occurred in 4 patients (G1 to G3)
- 3/12 patients developed prolonged cytopenia defined as persistent grade 4 beyond D42 post UCART19
- 2 DLTs have been observed, one at DL1 related to UCART19: G4 CRS associated with G5 neutropenic sepsis (death at D15 post-infusion) and one at DL2 related both to UCART19 and LD: G4 prolonged cytopenia associated with infection and pulmonary hemorrhage (death at D82 post-infusion)
- Deaths: 4 deaths have been reported: 1 patient with CRS G4 associated with infection, 1 patient had progressive disease and 2 patients in a context of post allo-SCT infection

### KEY ELIGIBILITY CRITERIA

#### Inclusion criteria

- Age  $\geq$  16 years
- Patient with R/R CD19 positive B-ALL
  - ✓ Morphological disease or MRD<sup>+</sup> ( $\geq$  1x10<sup>-3</sup> by flow cytometry (FLC) and/or qPCR)
  - ✓ Who has exhausted available treatment options

#### Exclusion criteria

- Previous treatment with investigational gene or cell therapy medicine products
- Active systemic infection
- Active CNS leukemia
- Extra-medullary disease

#### BASELINE CHARACTERISTICS

	All (N=12)
Median age in yrs (range)	29.50 [18-62]
Nb of prior treatment lines	
1 or 2	4
$\geq$ 3	8
incl. prior inotuzumab ozogamicin	6
incl. prior blinatumomab	3
Previous allo-SCT	7
Time of relapse following previous allo-SCT	
< 6 months	4
$\geq$ 6 months	3
Median (range)	5.9 months (4.1-11)
Bone marrow blasts prior to lymphodepletion	
<5%	3
5-25%	3
>25%	6
Median (range)	34% (0-98)

Table 1. Patient characteristics

#### UCART19 KINETICS

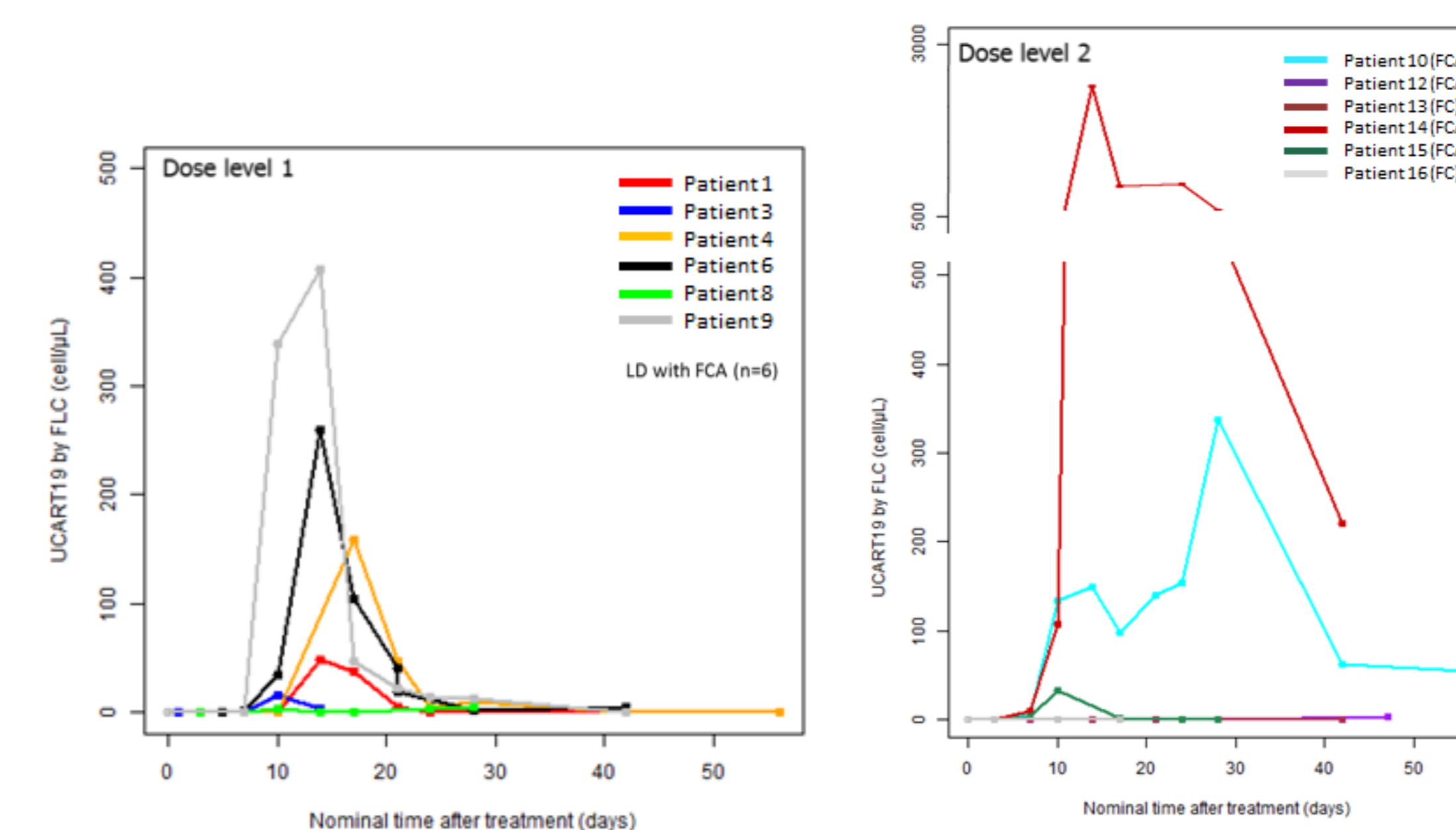


Figure 5. Flow cytometry PK profile

- Preliminary data on flow cytometry at DL1 and DL2 show that UCART19 was detectable in blood from D3 to D14 with a proliferation peak between D10 and D17. One patient at DL2 showed the highest peak linked to longest persistence (Figure 5)
- Among those patients with cell expansion, at DL1: 1 patient showed UCART19 persistence up to D42; at DL2: 2 patients showed persistence up to D42 and ongoing persistence at D56
- Preliminary data suggests that the level of UCART19 expansion does not correlate with response on D28; instead, MRD<sup>-</sup> CR at D28 was observed even with low levels of UCART19 expansion
- After the first dose of UCART19, no expansion was observed in 2 out of 10 patients who received LD with FCA and 2 out of 2 patients who received FC
- The role of alemtuzumab in UCART19 expansion is under investigation.

### RESULTS

#### ANTI-LEUKEMIC ACTIVITY

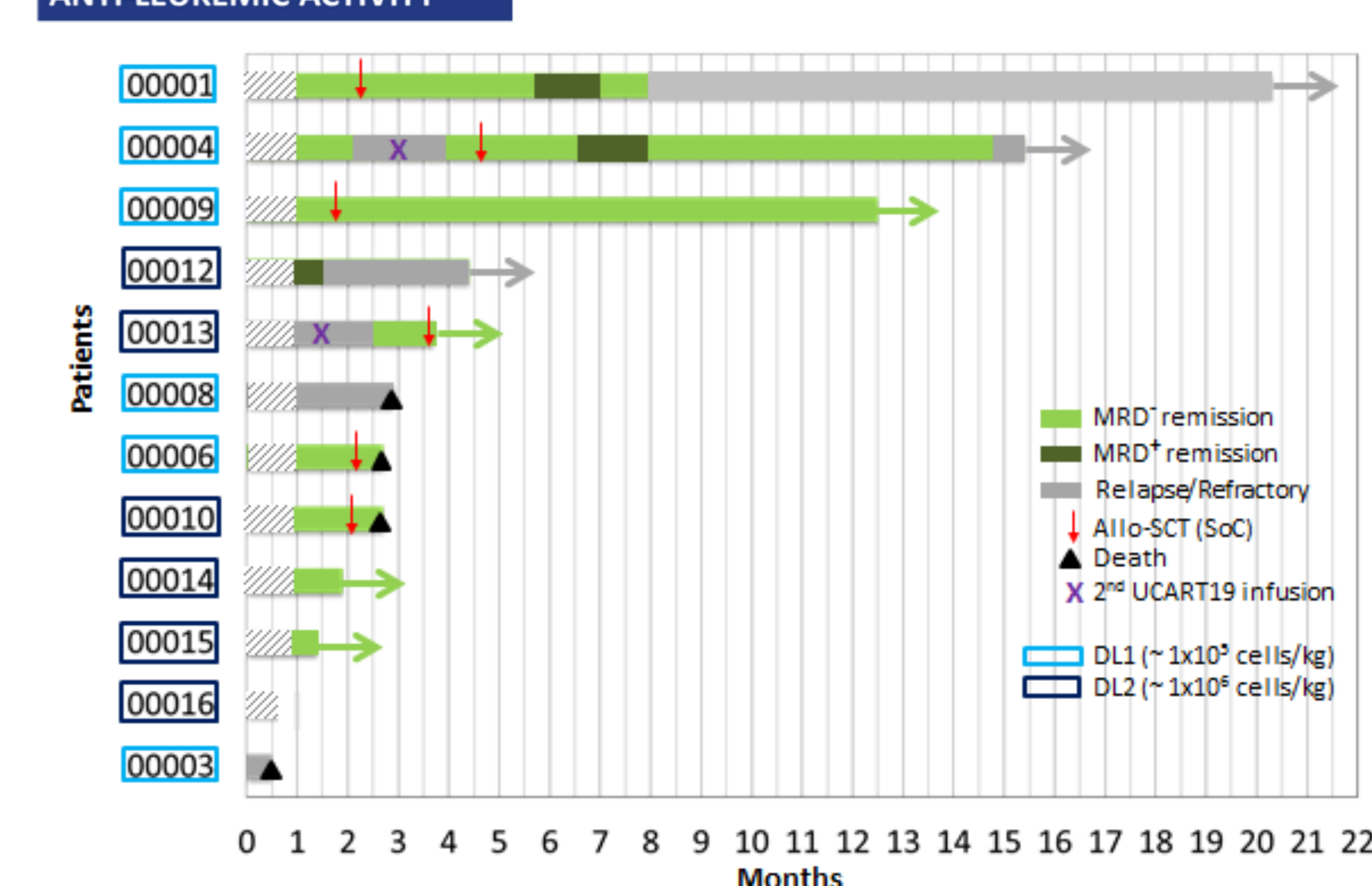


Figure 4. Anti-leukemic activity

- 12 patients received at least one UCART19 infusion as of April 24, 2018
- 10/12 patients were evaluable for anti-leukemic activity at D28 post UCART19 infusion. One patient died at D15 and one patient did not reach D28 evaluation
- At D28, 8 out of 10 evaluable patients achieved CR, including 7 patients in MRD<sup>-</sup> CR. Those 2 patients with refractory disease had no UCART19 expansion
- 4 out of 7 patients in MRD<sup>-</sup> CR underwent an allo-SCT. One patient remains in MRD<sup>-</sup> CR 12.4 months post UCART19 infusion, one patient relapsed 100 days post transplant
- Re-dosing with UCART19 was permitted on a compassionate use basis:
  - ✓ The 1<sup>st</sup> patient had relapsed with CD19<sup>+</sup> disease at D61 following 1<sup>st</sup> dose (LD with FCA); the 2<sup>nd</sup> dose (LD with FC) allows this patient to achieve MRD<sup>-</sup> at D28
  - ✓ The 2<sup>nd</sup> patient had no UCART19 expansion after the 1<sup>st</sup> dose (LD with FC) and had refractory disease at D28; the 2<sup>nd</sup> dose (LD with FCA) allows this patient to achieve MRD<sup>-</sup> at D28
  - ✓ Both patients proceeded subsequently to an allo-SCT
- 4 patients remain in molecular remission at data cut-off

### CONCLUSIONS

- First allogeneic, off-the-shelf, CAR T-cell therapy in high risk, heavily pretreated, R/R adults B-ALL
- All patients but one experienced manageable CRS, grade 1 neurotoxicity and skin GVHD were observed in 3 and 1 patients, respectively
- 10 out of 12 patients were evaluable for anti-leukemic activity at D28 post UCART19, one patient did not reach D28 evaluation
- 8 out of 10 evaluable (80%) patients achieved CR at D28 (88% MRD<sup>-</sup> CR)
- 2 patients received a 2<sup>nd</sup> dose of UCART19 (off-protocol), whom both achieved MRD<sup>-</sup> CR at D28
- 6 patients proceeded to an allo-SCT, including 4 patients after the 1<sup>st</sup> dose of UCART19, and 2 patients after the 2<sup>nd</sup> dose
- 4 patients remain in MRD<sup>-</sup> CR at 12.4, 3.6, 1.8 and 1.3 months respectively, post UCART19
- Viral complications and prolonged cytopenia were encountered related to lymphodepletion and/or UCART19

### ACKNOWLEDGEMENTS

#### Patients and their families participating in this early phase trial.

Nurses, study personnel and investigators working with us on the study. Teams involved in UCART19 studies at Servier and Allogene Therapeutics. TALEN<sup>®</sup> is a proprietary technology owned by Collectis.

Disclaimer: Part of these data were presented during an oral session at the EBMT congress on 21 March, 2018.